

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Joseph G. GATTO                      CONFIRMATION No.: 9235  
SERIAL NUMBER : 09/775,599                      EXAMINER: Narayanswamy Subramanian  
FILING DATE : February 5, 2001                      ART UNIT: 3695  
FOR : SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

**REQUEST FOR REFUND**  
**(IMPROPER CHARGE TO DEPOSIT ACCOUNT)**

Mail Stop 16  
Director of the U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**I. REFUND REQUEST**

This is a request for a refund, with respect to the charge to Deposit Account No. 033975, shown on the attached page 13 of the Deposit Account Statement dated August 2008, for the above-identified application.

**II. FEE CHARGED FOR WHICH REFUND REQUESTED**

**AMOUNT OF REFUND REQUESTED**

Information Disclosure Statement	\$180.00
TOTAL REFUND REQUESTED	\$180.00

**III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR**

The Supplemental Information Disclosure Statement filed July 24, 2008, cited a reference which we had known about for less than three months. The application had not yet received a Final Office Action and we certified that the reference was known no more than three months prior to the filing of the Supplemental Information Disclosure Statement. Accordingly, the charge was in error because no fee was due.

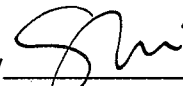
**IV. MANNER OF REFUND**

Please make refund by crediting Account No. 033975 under Docket No. 055444-0311371.

Respectfully submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

By



Syed Jafar Ali

Registration No.: 58,780

Customer No.  
00909

P. O. Box 10500  
McLean, Virginia 22102  
Telephone: (703) 770-7900  
Telefax: (703) 770-7901

Dated: December 23, 2008



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

Requested Statement Month: August 2008  
 Deposit Account Number: 033975  
 Name: PILLSBURY WINTHROP SHAW PITTMAN LLP.  
 Attention: ROBERTA MILES  
 Street Address 1: 1650 TYSONS BOULEVARD, 14TH FLOOR  
 Street Address 2:  
 City: MCLEAN  
 State: VA  
 Zip: 22102-4859  
 Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/01	169	12183436	008312-0372958	1011	\$310.00	\$441,391.6
08/01	170	12183436	008312-0372958	1111	\$510.00	\$440,881.6
08/01	171	12183436	008312-0372958	1311	\$210.00	\$440,671.6
08/01	514	12183467	008312-0372957	1011	\$310.00	\$440,361.6
08/01	516	12183467	008312-0372957	1311	\$210.00	\$440,151.6
08/01	515	12183467	008312-0372957	1111	\$510.00	\$439,641.6
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08/01	4118	A0013356	047371/0372971/RBB	7901	\$100.00	\$454,345.6
08/01	4119	A0013356	047371/0372971/RBB	7951	\$918.10	\$453,427.5
08/01	4168	77536294	061148/0373006/RLK/AG	7001	\$325.00	\$453,102.5
08/01	4570	A0013359	047371/0372968/RBB	7901	\$100.00	\$453,002.5
08/01	4571	A0013359	047371/0372968/RBB	7951	\$918.10	\$452,084.4
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08/01	8025	E-REPLENISHMENT		9203	-\$6,500.00	\$480,965.4
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08/01	159	11711873	081468-0359604	1814	\$130.00	\$484,535.4
08/01	175	10890400	081468-0310460	1806	\$180.00	\$484,355.4

08/13 9044 78680222	7758/316548	7004	\$150.00	\$463,015.4
08/13 9632 78689520	41905/316833	7004	\$150.00	\$462,865.4
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08/13 9777 78854189	31012/350633	7004	\$150.00	\$462,565.4
08/13 9830 12171953	035232-0370750	1051	\$130.00	\$462,435.4
08/13 10548 77142002	206565-31	8521	\$40.00	\$462,395.4
08/13 10975 12146331		8021	\$40.00	\$462,355.4
08/13 46 61078886	011765-0372444	2052	\$25.00	\$462,330.4
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08/13 13489 12190798	008312-0373131	1111	\$510.00	\$461,000.4
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08/14 363 11522476	067578-0357681	2253	\$525.00	\$461,315.4
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08/14 1286 61021884	066309-0367635 (PNI-002-P	8021	\$40.00	\$459,685.4
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08/14 2 10766472	008312-0307912	1201	\$210.00	\$454,826.4
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08/14 11206 2141227	25421/351737	7203	\$100.00	\$453,616.4